# For purposes of this reporting period, the following key compliance risk areas will be assessed.

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| **Compliance Risk Areas** | **Related Governing Laws, Rules, Regulations, or University Policies** |
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# General compliance question(s)

1. How is compliance with the policy monitored?
2. What is the frequency of the monitoring?
3. What are the typical noncompliance issues found and how are they corrected?
4. **First area**
   1. …
      1. …
5. **Second area**
   1. …